

CHAPTER III

PROCEDURE FOR SEEKING AUTHORIZATION AND REGISTRATION FOR HANDLING E-WASTES

9. **Procedure for grant of authorization.—**

- (1) Every producer of electrical and electronic equipment listed in Schedule I, collection centre, dismantler and recycler of e-waste shall obtain an authorization from the State Pollution Control Board or Pollution Control Committee of Union territories concerned as the case may be.
- (2) Every producer of electrical and electronic equipment listed in Schedule I, collection centre, dismantler and recycler of e-waste shall make an application, within a period of three months starting from the date of commencement of these rules in Form 1 to the State Pollution Control Board or the Pollution Control Committee for grant of authorization:

Provided that any person authorized under the provisions of the Hazardous Wastes (Management, Handling and Transboundary Movement) Rules, 2008, prior to the date of coming into force of these rules shall not be required to make an application for authorization till the period of expiry of such authorization:

Provided further that a recycler of e-waste who has not been authorized under the provisions of the Hazardous Waste (Management, Handling and Transboundary Movements) Rules, 2008, shall require authorization following the procedure mentioned in sub-rule (1) above.

- (3) On receipt of the application complete in all respects for the authorization, the State Pollution Control Board or Pollution Control Committee of Union territories may, after such enquiry as it considers necessary and on being satisfied that the applicant possesses appropriate facilities, technical capabilities and equipment to handle e-waste safely, grant within a period of ninety days an authorization in Form-1(a) to the applicant to carry out safe operations in the authorized place only, which shall be valid for a period of five years.
- (4) The State Pollution Control Board or Pollution Control Committee of the Union territories after giving reasonable opportunity of being heard to the applicant shall refuse to grant any authorization.
- (5) Every person authorized under these rules shall maintain the record of e-waste handled by them in Form-2 and prepare and submit to the State Pollution Control Board or Pollution Control Committee, an annual return containing the details specified in Form 3 on or before 30th day of June following the financial year to which that return relates.
- (6) An application for the renewal of an authorization shall be made in Form-1 before sixty days of its expiry and the State Pollution Control Board or Pollution Control Committee may renew the authorization after examining each case on merit and subject to the condition that there is no report of violation of the provisions of the Act or the rules made there under or the conditions specified in the authorization.

SCHEDULE I

(see rules 2 (1), 3(j) and (k))

Categories of electrical and electronic equipment covered under the rules

Sr. No.	Categories of electrical and electronic equipment
i.	Information technology and telecommunication equipment : Centralised data processing: Mainframes, Minicomputers Personal computing: Personal Computers (Central Processing Unit with input and output devices) Laptop Computers (Central Processing Unit with input and output devices) Notebook Computers Notepad Computers Printers including cartridges Copying equipment Electrical and electronic typewriters User terminals and systems Facsimile Telex Telephones Pay telephones Cordless telephones Cellular telephones Answering systems
ii.	Consumer electrical and electronics: Television sets (including sets based on (Liquid Crystal Display and Light Emitting Diode technology). Refrigerator, Washing Machine, Air-conditioners excluding centralised air conditioning plants

SCHEDULE II

[See rule 13(2)]

Applications, which are exempted from the requirements of sub-rule (1) of rule 13 (applicable to categories of electrical and electronic equipment as listed in Schedule I)

	Exemption
1	Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):
1(a)	For general lighting purposes < 30 W: 5 mg
1(b)	For general lighting purposes ≥ 30 W and < 50 W: 5 mg
1(c)	For general lighting purposes ≥ 50 W and < 150 W: 5 mg
1(d)	For general lighting purposes ≥ 150 W: 15 mg
1(e)	For general lighting purposes with circular or square structural shape and tube diameter ≤ 17 mm: 7mg
1(f)	For special purposes: 5 mg
2(a)	Mercury in double-capped linear fluorescent lamps for general lighting purposes

3. (a) Total capital invested on the project :
 (b) Year of commencement of production:
 (c) Date of grant of the Consent to Establish:
 (d) Date of grant of the Consent to Operate:

Part – B: e-waste

4. E-waste details:

(a)	Type of e-wastes generated as defined under the e-wastes (Management and Handling) Rules, 2011:	
(b)	Total Quantity e-waste handled generated/collected/dismantled/recycled :	
(c)	Mode of storage within the plant :	
(d)	Method of treatment and disposal :	
(e)	Installed capacity of the plant :	

Part – C : Dismantling and Recycling Facility

5. Detailed proposal of the facility (to be attached) to include:
- (i) Location of site (provide map).
 - (ii) Details of processing technology
 - (i) Type and Quantity of waste to be processed per day
 - (iv) Site clearance (from local authority, if any)
 - (v) Utilization of the e-waste processed
 - (vi) Method of disposal of residues (details to be given)
 - (vii) Quantity of waste to be processed or disposed per day
 - (viii) Details of categories of e-waste to be dismantled/processed
 - (ix) Methodology and operational details
 - (x) Measures to be taken for prevention and control of environmental pollution including treatment of leachates
 - (xii) Investment on Project and expected returns
 - (xiii) Measures to be taken for safety of workers working in the plant

Place : _____

Signature _____

(Name _____)

Date : _____

Designation : _____

FORM – 2

[See rules 4(8), 5(5) and 9(5)]

FORM FOR MAINTAINING RECORDS OF E-WASTE HANDLED/ GENERATED**Quantity in Metric Tonnes (MT) or Kilograms (Kg) per year**

1.	Name & Address: Producer /Collection Centre/Dismantler/ Recycler/ Bulk consumer *		
2.	Date of Issue of Authorization* Registration *		
3.	Validity of Authorization* /Registration*		
4.	Types & Quantity of e- waste handled/ generated	Category	Quantity
		Item Description	
5.	Types & Quantity of e-waste stored	Category	Quantity
		Item Description	
6.	Types & Quantity of e-waste sent to authorized collection centre/ registered dismantler or recycler	Category	Quantity
		Item Description	
7.	Types & Quantity of e-waste transported*	Category	Quantity
	Name, address and contact details of the destination		
8.	Types & Quantity of e-waste refurbished*	Category	Quantity
	Name, address and contact details of the destination of refurbished materials	Item Description	
9.	Types & Quantity of e-waste dismantled*	Category	Quantity
	Name, address and contact details of the destination	Item Description	
10.	Types & Quantity of e-waste recycled*	Category	Quantity
	Types & Quantity of materials recovered	Item Description	
	Name, address and contact details of the destination	Quantity	
11.	Types & Quantity of waste treated & disposed	Category	Quantity
		Item Description	

* Strike off whichever is not applicable